BOUNDS ON TERTIARY SHORTENING AT THE NORTHEASTERN MARGIN OF THE TIBETAN PLATEAU

12 **ABSTRACT**

13 Quantifying the magnitude and spatial extent of Tertiary deformation in the Indo-Asian 14 collision zone is central to a deeper understanding of the growth of the Tibetan Plateau in space 15 and time, relative roles of crustal and mantle processes, and linkages between high elevation in 16 central Asia and global climate. Here we characterize the style, magnitude and timing of 17 shortening along a regionally-extensive thrust system in northeastern Tibet, the West Qinling 18 fault. Mapping and structural analysis of Tertiary sediments preserved in the hanging wall of 19 this thrust allows reconstruction of shortening at a tip-line fold near the eastern edge of the 20 Linxia basin. Restoration of balanced cross-sections indicates limited shortening (1-3 km) across 21 the fault. Moreover, geomorphic observations reveal a contiguous, low-relief erosional 22 landscape south of the fault system that truncates structures on the plateau and restricts 23 deformation to pre-Tertiary. The southern boundary of this low-relief surface is defined by a 24 south-vergent thrust system along the margin of the Lintan basin; preliminary estimates of 25 shortening across this fault appear to be less than ~5 km. (U-Th)/He thermochronology of 26 apatitive from the hanging wall of the West Qinling fault reveal slow denudation rates $\langle \sim 20 \rangle$ 27 m/m.y.) during Paleocene – Miocene time and suggest that slip on the fault, and development of 28 relief along the range front, occurred subsequent to \sim 20 Ma. Overall, our results reveal minimal 29 shortening of the upper crust during Late Cenozoic development of the northeastern Tibetan 30 Plateau and provide support for models invoking redistribution of crust by ductile flow.

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32 **1. INTRODUCTION**

33 The question of how and when the Tibetan Plateau attained its current elevation remains 34 a first-order problem in continental tectonics, carrying implications for the processes of 35 intracontinental deformation [c.f., *England and Houseman*, 1986; *Royden*, 1996; *Tapponnier, et* 36 *al.*, 1982], the linkages between plateau growth and climate change [*Molnar, et al.*, 1993], and 37 the role of mantle processes in plateau development [e.g., *Garzione, et al.*, 2006]. Although 38 there is a general consensus that the plateau grew in lateral extent as a consequence of crustal 39 thickening driven by the continuing convergence of India and Eurasia [*Argand*, 1924; *England* 40 *and Houseman*, 1986; *Royden*, 1996; *Tapponnier, et al.*, 2001; *Zhao and Morgan*, 1985], our 41 understanding of the progression of deformation in time and space remains skeletal at best. 42 Important uncertainties regarding the magnitude of pre-collision shortening and inferred crustal 43 thickness within the present plateau [e.g., *Kapp, et al.*, 2003a; *Kapp, et al.*, 2005; *Kapp, et al.*, 44 2003b; *Murphy, et al.*, 1997], the elevation history of different regions of the plateau [*Currie, et* 45 *al.*, 2005; *Rowley and Currie*, 2006], coupled with an incomplete knowledge of the distribution 46 and magnitude of Tertiary shortening in the crust [c.f., *Yin and Harrison*, 2000] and a limited 47 understanding of the evolution of mantle buoyancy through time [*Molnar, et al.*, 1993], continue 48 to drive debate over the processes of intracontinental deformation in this archetypal orogen. 49 Central to this debate is the mechanism of crustal thickening itself, for which two end-50 member hypotheses exist. In the first case, plateau growth is achieved through large-magnitude 51 shortening and contractional deformation of the upper crust along major basin-bounding fault 52 systems. Such models point to large-magnitude deformation observed in Tertiary sediments 53 within the plateau [*Horton, et al.*, 2002; *Murphy and Yin*, 2003; *Yin, et al.*, 1999] and near its

54 margins [*Fang, et al.*, 2003; *Ritts, et al.*, 2004] as evidence for shortening in the upper crust.

55 Moreover, a broad northward progression in the timing of deformation has led to the suggestion 56 that the plateau underwent a punctuated history of outward growth characterized by large-scale 57 continental subduction of lithospheric blocks [*Tapponnier, et al.*, 2001]. In contrast, a second 58 class of models considers the plateau to have grown outward primarily by lateral flow of 59 isostatically-compensated weak lower crust [*Royden*, 1996]. Such models point to an apparent 60 absence of significant contractional structures in eastern Tibet [*Burchfiel, et al.*, 1995; *Kirby, et* 61 *al.*, 2000; *Royden, et al.*, 1997] and the preservation over long wavelengths of a regionally-62 extensive low-relief surface at high elevation in southeastern Tibet [*Clark and Royden*, 2000; 63 *Clark, et al.*, 2006]. Such models can be highly sensitive to the surface boundary condition at 64 plateau margins [*Beaumont, et al.*, 2001], and a wide range of behavior may result as a 65 consequence of various degrees of coupling between the lower and upper crust. Deconvolving 66 the relative roles of upper crustal shortening versus lower crustal flow during growth of the 67 Tibetan Plateau remains challenging.

68 The northeastern corner of the Tibetan Plateau represents one region that provides an 69 opportunity to quantitatively assess the contribution of Tertiary upper crustal shortening to the 70 present-day crustal thickness (Figure 1). In contrast to the relatively low internal relief on the 71 central and eastern plateau, northern Tibet is characterized by high-standing ranges and 72 intervening basins (Figure 1). Geodetic [*Zhang, et al.*, 2004] and geologic [*Peltzer, et al.*, 1988; 73 *Van der Woerd, et al.*, 2001] studies attest to active shortening of the upper crust within the 74 Qilian Shan and associated ranges. Although the timing of onset of deformation is the subject of 75 some debate [c.f., *Métivier, et al.*, 1998; *Yin, et al.*, 2002], it is clear that significant Tertiary 76 shortening of the upper crust contributes to the development of high topography [*Burchfiel, et* 77 *al.*, 1989; *Meyer, et al.*, 1998; *Tapponnier, et al.*, 1990]. To the east, however, in the vicinity of

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78 Xining and Lanzhou (Figure 1) the topography becomes transitional with that of the eastern 79 Tibetan Plateau (Figure 1). The plateau in this region is characterized by high elevations (~3500 80 – 4000 m) and relatively subdued local relief; Clark and Royden [2000] interpreted the smooth, 81 long-wavelength decrease in elevation from SW to NE across this region as reflecting thickening 82 by influx of lower crust. However, several large Tertiary basins exist in the region (Figure 1), 83 the largest of which, the Linxia Basin, has been interpreted to have been deposited in a flexural 84 foredeep during Tertiary shortening along a regionally-extensive thrust system [*Fang, et al.*, 85 2003]. Thus, this region possesses topographic and structural characteristics that are perhaps 86 indicative of both upper crustal shortening and lower crustal flow. 87 In order to better understand the relative contribution of these processes to growth of high 88 topography in northeastern Tibet, we seek to quantify the amount of Tertiary shortening recorded 89 in the upper crust at the margin of the Linxia Basin (Figure 1) and assess the contribution of this 90 shortening to the present-day thickness of the crust. Along the Tao River, Neogene sediments of 91 the Linxia Basin are preserved in the hanging wall of the West Qinling thrust system, a major, 92 north-vergent fault system that bounds the southern margin of the Linxia Basin. Mapping and 93 stratigraphic study of these sediments allow quantitative reconstruction of the magnitude of 94 Tertiary deformation along the fault system. Moreover, regionally-extensive geomorphic 95 surfaces in the hanging wall of the fault system place limits on the amount of deformation within 96 the plateau south of the basin-bounding fault. These results, in conjunction with low-97 temperature thermochronometric data from hanging wall rocks, suggest that most of the 98 deformation observed throughout this region of the plateau is pre-Cenozoic in age, and does not 99 contribute to the budget of Tertiary shortening.

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101 **2. GEOLOGIC SETTING**

102 **2.1. Late Tertiary Deformation in Eastern Tibet**

103 The geologic and topographic evolution of the eastern and southeastern regions of the 104 Tibetan Plateau has served to cultivate the proposition that flow of weak lower crust can drive 105 crustal thickening in the absence of significant shortening of the upper crust [e.g., *Royden*, 1996]. 106 The primary lines of evidence for such a mechanism operating in eastern Tibet include the 107 following: 1) slow rates of present-day shortening across the steep margin of the plateau against 108 the Sichuan Basin [*King, et al.*, 1997]; 2) limited Cenozoic shortening across this margin 109 [*Burchfiel, et al.*, 1995] and the absence of a Cenozoic foredeep in the Sichuan Basin [*Royden, et* 110 *al.*, 1997]; 3) long-wavelength, low-gradient topographic margins of the plateau in southeastern 111 and northeastern Tibet [*Clark and Royden*, 2000]; 4) rapid deformation and tilting of markers in 112 eastern Tibet, despite limited horizontal shortening [*Kirby, et al.*, 2000]; and 5) preservation of a 113 low-relief landscape at high elevation in southeastern Tibet that appears to have once been 114 continuous across southeastern China [*Clark, et al.*, 2006; *Schoenbohm, et al.*, 2004]. 115 Our present understanding of the timing of plateau development in eastern and 116 southeastern Tibet relies largely on rapid cooling inferred from thermochronologic proxies. 117 Disruption of the low-relief surface atop the plateau by fluvial incision appears to have begun in 118 the late Miocene [*Clark, et al.*, 2005a; *Kirby, et al.*, 2002]. Importantly, (U-Th)/He ages from 119 apatites on or near preserved remnants of low-relief surfaces yield Mesozoic ages [*Clark, et al.*, 120 2005a; *Xu and Kamp*, 2000], consistent with thermal histories from the Sichuan Basin margin 121 suggesting very slow rates of cooling and denudation since Mesozoic time [*Kirby, et al.*, 2002]. 122 Thus, throughout much of eastern Tibet, remnant patches of a low-relief, relict landscape appear

123 to pre-date the Late Cenozoic development of high topography and rugged relief [e.g., *Clark, et* 124 *al.*, 2006].

125 **2.2. Tertiary Deformation and Basin Development in Northeastern Tibet**

126 In contrast to the eastern and southeastern regions of the Tibetan Plateau, where little 127 evidence exists for deformation of the upper crust, the northeastern margin is characterized by a 128 series of large Tertiary basins, bounded by thrust systems (Figure 1). The largest of these, the 129 Linxia Basin, lies to the north and east of high ranges in the West Qinling and La Jie Shan 130 (Figure 1) and is bound on the south by a north-vergent thrust system, herein referred to as the 131 West Qinling thrust. In addition, numerous small Tertiary basins sit today at high elevations 132 (>3000 m) within the Tibetan Plateau to the south (Figure 1). The largest of these, the Lintan 133 Basin, is bounded along its northern margin by a south-vergent thrust system (Figure 1). The 134 association of terrestrial basins and range-bounding thrust faults in northeastern Tibet has led a 135 number of workers to the conclusion that sediment accumulation was driven by subsidence 136 associated with shortening [*Fang, et al.*, 2003].

137 The timing of fault activity in the region, however, remains the subject of debate. This 138 part of northeastern Tibet has been the locus of terrestrial sediment accumulation since the Late 139 Jurassic – Early Cretaceous. Horton et al. [2004] suggested that Mesozoic sediments 140 accumulated during transtensional basin formation and subsequent thermal subsidence. These 141 workers observe a clockwise rotation of paleomagnetic poles [*Dupont-Nivet, et al.*, 2004], 142 coincident with an increase in sediment accumulation rate in the mid-Tertiary from which they 143 infer that shortening related to the Indo-Asian collision initiated in northeastern Tibet as early as 144 40-30 Ma.

145 A similar conclusion was reached from stratigraphic studies in the Linxia basin (Figure 146 1). Fluvial and lacustrine sediments of the Linxia basin were deposited between 29 Ma and ~1.7 147 Ma, based on magnetostratigraphic and biostratigraphic correlation of three stratigraphic sections 148 with the geomagnetic polarity reversal timescale [*Fang, et al.*, 2003]. There is some debate over 149 the exact biostratigraphic age of the lowermost units of the basin stratigraphy; Deng et al. [2004] 150 reevaluated fossils from Linxia basin sediments and suggested that the base of the section studied 151 by Fang et al. [2003] may be up to ~7 m.y. older. Although the details remain uncertain, it 152 appears that the onset of Tertiary sediment accumulation occurred between ~36 and ~29 Ma. 153 Based on regional stratigraphic correlations, these workers suggested that sedimentation took 154 place in a flexural foreland basin and inferred that shortening on the basin-bounding fault was 155 activated during the late Oligocene.

156 There are a number of regional studies, however, that document a coordinated pulse of 157 tectonic activity across northeastern Tibet in the Late Miocene [*Molnar*, 2005]. In the Linxia 158 basin, sediment accumulation rates increased during the mid-late Miocene (ca. 12 Ma) [*Fang, et* 159 *al.*, 2003]. This event appears to coincide with a shift toward less negative $\delta^{18}O$ values of 160 lacustrine and soil carbonates in the basin sediments [*Dettman, et al.*, 2003], which the authors 161 suggest may herald the onset of more arid conditions in northeastern Tibet, a possible 162 consequence of blocking of incoming moisture associated with the East Asian monsoon. It also 163 coincides with a change in the isotopic (ϵ_{Nd}) values mudstones from the basin [*Garzione, et al.*, 164 2005], consistent with an increase in exhumation rate of Mesozoic and Paleozoic source rocks 165 exposed south of the Linxia basin. Perhaps not coincidentally, cooling rates in the hanging wall 166 of the West Qinling fault, along the Daxia River (Figure 1), are inferred to have increased around 167 this time [*Clark, et al.*, 2004]. Finally, a decrease in the lag time between fission-track ages of

168 detrital apatite samples and their depositional age occurs at ca. 12-14 Ma [*Zheng, et al.*, 2003] 169 and may reflect an increase in exhumation rate of the basin-bounding ranges. Regionally, the 170 Late Miocene also marks the emergence of the La Jie Shan as a sediment source to the Guide 171 basin [ca. 8 Ma, *Lease, et al.*, in press] and is a time of rapid cooling and exhumation in ranges 172 north and east of the Linxia Basin [*Enkelmann, et al.*, 2006; *Zheng, et al.*, 2006].

173 Despite the wealth of stratigraphic and paleoenvironmental data from the center of the 174 Linxia basin, little is known about the style, magnitude, or rates of deformation along the basin 175 margins. The basin is bounded on its southern margin by a regionally-extensive, north-vergent 176 thrust fault, the West Qinling fault system (Figure 1) that places Paleozoic and Mesozoic rocks 177 over Linxia basin sediments. This system extends over ~250 km along strike, forming the 178 southern boundary of the Xun Hua and Guide basins west of the Linxia region. Although the 179 Xun Hua basin is not as well-studied as Linxia, sediment accumulation in the Guide basin 180 extends back to at least the mid-Miocene [*Fang, et al.*, 2005; *Pares, et al.*, 2003]. East of the 181 Linxia basin, the West Qinling fault system is not as well exposed, but has been suggested to 182 continue eastward for at least ~100 km [Tianshui/Gansu fault of *Horton, et al.*, 2004], along the 183 southern margin of the Longxi basin (Figure 1). In this region, the West Qinling fault system is 184 overprinted by active, left-lateral faults of the Wei He graben system [*Peltzer, et al.*, 1985] that 185 obscure much of the older history. Regardless, the West Qinling fault system represents a 186 regionally-significant shortening structure whose role in the tectonic evolution of northeastern 187 Tibet is only poorly understood. The primary goal of this paper is to characterize the timing and 188 magnitude of shortening accomplished by slip on this fault system.

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190 **3. GEOLOGY AND GEOMORPHOLOGY OF THE WEST QINLING RANGE**

191 The Tibetan Plateau south of the Linxia Basin exhibits mean elevations between ~3500 192 and 4000 m, with peaks in the West Qinling range reaching elevations of over 4500 m (Figure 193 2). Local relief on the range front against the Linxia basin today approaches 2000 m. The range 194 itself is drained by two major tributaries of the Yellow River, the Daxia and Tao rivers (Figure 195 1), both of which have carved deep gorges into the plateau surface (Figure 2). However, 196 between these rivers, and south of the West Qinling proper, the plateau is characterized by 197 subdued local relief and low topographic gradients (Figure 2).

198 To better characterize the geometry and extent of this low-relief surface atop the plateau 199 in this region, we analyzed digital topographic data from the Shuttle Radar Topography Mission 200 (SRTM, 3-arc second resolution; http://srtm.csi.cgiar.org/). Visualization of the gradient of the 201 land surface reveals steep slopes on the plateau, especially in areas adjacent to major rivers and 202 at the West Qinling range front (Figure 2). However, several large regions of contiguous low 203 gradient are apparent south of the West Qinling (Figure 2b). Utilizing a combination of gradient 204 and curvature (Laplacian of topographic surface, or $\nabla^2 z$) [*Zevenbergen and Throne*, 1987], we 205 delineate the regional extent of low-relief surfaces at the northeastern plateau margin (Figure 2c). 206 Comparison of this result with the geology of the region [*Ministry of Geology and Mineral* 207 *Resources*, 1989; 1991] reveals that many of the low-relief surfaces are mantled by Tertiary and 208 Quaternary sediments (e.g., Hezuo, Ganjia, and Sangke basins, Figure 2d), but two major 209 surfaces are apparently devoid of Cenozoic sediments. One of these (referred to locally as the 210 Meiwu plateau) lies immediately south of the Linxia Basin, extends for ~60 km E-W and 211 nearly 40 km N-S, and is bounded on the north and south by higher topography at the margins of 212 the Linxia and Lintan basins (Figure 2).

213 The character of the Meiwu surface is similar to low-relief landscapes observed atop the 214 Tibetan Plateau in eastern and southeastern Tibet [*Clark, et al.*, 2005a; *Clark, et al.*, 2006; *Kirby,* 215 *et al.*, 2002]. Of particular note is the observation that Neogene sediments in the vicinity of the 216 Tao river (Figure 2d) ramp up onto the Meiwu surface at its eastern end, and remnant patches of 217 sediment are mapped atop the surface at its southwestern corner (Figure 3). This apparent onlap 218 of Neogene sediments indicates that the surface likely represents a relict landscape that existed 219 prior to Tertiary basin development. As we document below, Neogene sediments that onlap the 220 eastern margin of the Meiwu plateau are continuous with sediments in the Linxia basin proper. 221 Thus, the surface appears correlative with the basal unconformity beneath Neogene sediments in 222 the Linxia basin and can be used as an additional constraint on the magnitude of Tertiary 223 deformation across this region of the plateau.

224 **3.1. Geology in the Tao He region**

225 Along much of the exposed margin of the Linxia basin, a lack of hanging-wall cutoffs 226 precludes a precise estimate of shortening across the West Qinling fault zone (Figure 3). Near 227 the eastern end of the basin, however, in the vicinity of the Tao He (river), Neogene sediments 228 extend across the fault into the West Qinling range. The presence of sediments in both the 229 hanging wall and footwall blocks allows us to use the basal unconformity as a marker that allows 230 reconstruction of shortening across this portion of the fault system.

231 To better constrain the geometry and distribution of deformation associated with the West 232 Qinling fault system, we undertook geologic mapping along the fault between the Daxia and Tao 233 rivers (Figure 1). Our efforts focused on macroscopic structures near the eastern end of this 234 region, but also include reconnaissance observations at exposures of the range-bounding fault. 235 We combined these observations with published geologic maps [*Ministry of Geology and*

236 *Mineral Resources*, 1989] of the Carboniferous through Triassic bedrock in the West Qinling and 237 on the plateau south of the Linxia basin. We briefly describe the geologic units found in the 238 region, before characterizing the geometry and extent of Tertiary deformation recorded in them. 239 **3.1.1. Paleozoic and Triassic Units.** Paleozoic and Mesozoic strata are exposed within a 240 regionally-extensive anticlinal culmination along the West Qinling south of the Linxia basin 241 (Figure 3). The anticlinorium extends \sim 170 km along strike, exposing Carboniferous through 242 Permian strata in the core and Triassic units along the limbs of the structure (Figure 3). Where 243 we had the opportunity to observe them, Carboniferous and Permian rocks are typically 244 massively-bedded, gray to blue-grey carbonates with subordinate interlayers of shale and fine 245 sandstone. These units constitute a portion of the eastern Kunlun-Qaidam terrane [*Yin and* 246 *Harrison*, 2000] and record widespread shallow marine conditions during the Carboniferous. 247 Rare exposures of latest Permian strata reveal a transition to coarser lithologies dominated by 248 siliclastic components. Upper Permian strata are gradational with Triassic strata of the Songpan-249 Ganzi flysch complex [*Sengör and Natal'in*, 1996; *Yin and Harrison*, 2000], and the onset of 250 siliclastic accumulation has been interpreted to record the incipient collision between North and 251 South China in latest Permian time [*Chang*, 2000]. 252 Triassic rocks near the Linxia basin margin consist of interbedded sandstones and 253 argillaceous shales; sandstones are commonly feldspathic or lithic-rich wackes. These rocks 254 represent some of the northernmost exposures of the Songpan-Ganzi flysch, a volumetrically

- 255 extensive package of deep-marine turbidite deposits [*Sengör and Natal'in*, 1996; *Yin and*
- 256 *Harrison*, 2000] shed off the rising Qinling-Dabie orogen [*Bruguier, et al.*, 1997; *Chang*, 2000;
- 257 *Zhou and Graham*, 1996].

258 Paleozoic and Mesozoic strata in the field site were intruded by several intermediate to 259 felsic plutons designated as Jurassic in age [*Ministry of Geology and Mineral Resources*, 1989]. 260 Plutonic rocks appear to cross-cut structures and fabrics within the Paleozoic sequence (Figure 261 3), suggesting that they were emplaced late in the deformational history. Rare exposures of 262 Jurassic volcanics are present near the western extent of the Meiwu surface (Figure 3).

263 **3.1.2. Cretaceous Sedimentary Units**. Terrestrial siliciclastic sedimentary rocks designated as 264 Cretaceous [*Ministry of Geology and Mineral Resources*, 1989] are exposed north and east of the 265 Tao He (Figure 4) and consist primarily of interbedded sandstones and mudstones, with minor 266 lenses of pebble conglomerate. Mudstones are typically purple-brown in color with numerous 267 reduction spots and exhibit planar, laminated bedding on centimeter-scales, whereas sandstone 268 interbeds are present in sets ranging from 30 cm to 2 m thick with planar cross-beds. 269 Conglomerates consist of matrix-supported granule-cobble conglomerates with clasts up to 10 270 cm in diameter. Clast compositions are primarily limestone and quartzite, with rare occurrences 271 of plutonic or metamorphic rocks and appear to reflect a source region similar to that exposed 272 today in the West Qinling.

273 Terrestrial sedimentary rocks at the northeastern plateau margin have been designated as 274 Cretaceous in age on the basis of fossil assemblages and magnetostratigraphy in the Xining-275 Minhe Basin [e.g., *Hao*, 1988; *Horton, et al.*, 2004]. In the Linxia region, units are designated as 276 Cretaceous on the basis of fossils of *Sinamia sp.*, a freshwater fish of the Upper Jurassic-Lower 277 Cretaceous in east Asia, and gingko and cycad pollen [*Ministry of Geology and Mineral* 278 *Resources*, 1989].

279 Cretaceous sediments at the southern edge of the Linxia basin appear to represent 280 deposition in a terrestrial setting dominated by fluvial and floodplain environments. Mudstones 281 and very fine sandstones likely result from deposition during crevasse splay events, while fluvial 282 sands and gravels are attributable to sedimentation within channels. Near the contact with 283 Triassic bedrock, some of the coarser units may represent higher-energy deposits associated with 284 braided river and/or alluvial fan settings. Lithologically, these units are similar to Cretaceous 285 units in the Maxian Shan, near Lanzhou (Figure 1) and appear to represent southeastern 286 exposures of the Xining-Minhe basin [*Horton, et al.*, 2004]. Regional fining of Cretaceous 287 conglomerates toward the northeast and provenance suggest that the present-day margin of the 288 Linxia basin was coincident with the paleo-margin of the Cretaceous-Paleogene Xining-Minhe 289 basin [*Horton, et al.*, 2004].

290 **3.1.3. Tertiary basin deposits.** Tertiary deposits at the margin of the Linxia basin in the vicinity 291 of the Tao He are considered to be Neogene in age [*Ministry of Geology and Mineral Resources*, 292 1989]; therefore, throughout the paper, we refer to them as such. However, direct 293 biostratigraphic or radiometric control is sparse. A consideration of relative age constraints is 294 presented below. As described below, lithologic similarities to Tertiary strata in the center of the 295 basin, clast provenance, and unconformable relationships with Cretaceous units all suggest a 296 Tertiary age.

297 Tertiary deposits south of the Linxia basin margin consist primarily of coarse pebble to 298 boulder conglomerate with interbedded sandstones and mudstones. Grain sizes within these units 299 fine rapidly northward away from the West Qinling, and exposures throughout the majority of 300 the basin are fine mudstone and siltstone. Conglomerates are generally matrix-supported, though 301 the degree of matrix varies widely. Clasts range in size from granule to boulder, but are typically 302 subrounded pebbles and cobbles (up to 10-15 cm). Most conglomerate clasts are sandstone 303 and/or limestone, with minor quartzite, and appear to be derived from Paleozoic and Mesozoic

304 units to the south. Rare clasts of granite or diorite can be found in the southern parts of the basin. 305 Typically, conglomerates are massively bedded (1-3 m) and support cliffs many 10s of meters 306 high (Figure 5b – see photo). Interbedded with these deposits are finer-grained units, ranging 307 from sandstone to mudstone. Sandstones are present in packages of laterally continuous beds 30 308 cm to 1 m thick with planar laminations and subordinate cross-beds. Associated mudstones 309 typically range in grain size from very fine sand to coarse silt, are thinly laminated, and often 310 exhibit gradational contacts with coarser sands.

311 Tertiary strata near the range front appear to represent both high-energy fluvial and 312 alluvial fan deposits. Sandstones and most conglomerates appear to reflect deposition in a 313 proximal fluvial environment, though the presence of some coarse, angular, clast-supported 314 conglomerates suggests local deposition by hyperconcentrated flow in alluvial fans. Rare fine-315 grained sandstones and mudstones are interpreted to represent overbank deposits. Of particular 316 importance is the fact that conglomerates extend across the frontal fold at the eastern end of the 317 basin-bounding fault (Figure 4) and rapidly grade northward into finer sediments in the Linxia 318 basin. The continuity of units across the projected trace of the West Qinling fault system has 319 important consequences for the geometry and magnitude of deformation (discussed below). 320 Tertiary units are distinct from Cretaceous rocks on the basis of several field 321 observations. Where both units are in proximity to one another, in the northeastern portion of the 322 study area (Figure 4), Tertiary rocks lie unconformably atop Cretaceous rocks and contain an 323 abundance of clasts (1-5 cm) of the underlying Cretaceous mudstone and shale, indicating a 324 younger relative age. Second, Cretaceous units tend to exhibit a greater degree of induration and 325 possess a characteristic joint set orthogonal to bedding that is absent in Tertiary deposits. 326 Finally, south of the basin margin, Tertiary conglomerates generally exhibit a bright red matrix

327 whereas Cretaceous conglomerates are grayish tan to brown in color. These differences, 328 although qualitative, indicate that Tertiary deposits are recognizable and distinct from Cretaceous 329 strata. Moreover, the presence of Cretaceous clasts within the Tertiary basin deposits suggests 330 they must be of Tertiary age.

331 Although the distance to the sections studied by Fang et al. [2003] near the center of the 332 Linxia basin precludes direct lithostratigraphic correlation to dated strata, the presence of 333 Tertiary conglomerates atop a basal unconformity with Paleozoic bedrock suggests that these 334 deposits are likely correlative with the lower portions of the Linxia basin section. In the basin 335 center, the Tala Formation lies unconformably on granite bedrock and has been dated at 29-21.4 336 Ma [*Fang, et al.*, 2003]. The Tala formation and overlying Zhongzhuang formation, dated at 337 21.4-14.7 Ma, are the only pre-late Miocene units in the Linxia basin that contain coarse 338 conglomerate beds [*Fang, et al.*, 2003]. Thus, it seems probable that Tertiary deposits in the Tao 339 He region are stratigraphically equivalent to the Tala Formation. However, difficulties in 340 correlating terrestrial sedimentary units on the basis of lithostratigraphy and the likely time-341 transgressive nature of the basal Neogene unconformity make this correlation tentative. The 342 deposits could be as old as Paleocene or as young as Miocene; a more precise determination 343 awaits discovery of biostratigraphic or magnetostratigraphic markers.

344 **3.1.4. Quaternary sediments**. Abundant Quaternary sediments mantle Neogene deposits in the 345 Linxia basin and the adjacent region of the Tibetan Plateau. Quaternary fluvial deposits are 346 present along both the Tao and Daxia rivers. In addition, a thick $(>30 \text{ m})$ in some locations), 347 extensive layer of Quaternary loess drapes Neogene sediments within the Linxia basin and 348 represents the western portion of the Chinese loess plateau. Qualitative observations of fluvial

349 terraces along the Tao and Daxia rivers suggest that terraces are not displaced or deformed 350 across the basin-bounding fault, and these deposits are not discussed further.

351 **3.2 Deformation recorded in Neogene deposits**

352 Neogene conglomerates at the margin of the Linxia basin display varying amounts of 353 deformation and serve as a marker for estimating Cenozoic shortening at the northeastern edge of 354 the plateau. Along much of the southern margin of the basin, the West Qinling thrust places 355 Permo-Triassic rocks on top of basin sediments (Figure 2). Although the fault plane is not well 356 exposed, the geometry of the fault trace where it crosses several canyons between the Daxia He 357 and Tao He (Figure 1) suggests that the fault dips moderately to steeply south (26°-48°; Table 1). 358 Neogene sediments in the footwall of the fault are deformed into synclinal, upright folds 359 immediately beneath the fault, but the lack of preserved hanging-wall cutoffs precludes 360 reconstruction of fault slip along this portion of the fault system.

361 Eastward along the range front, however, the fault transitions into a range-scale ESE-362 trending anticline (Figure 4). On the north limb of the anticline, the basal unconformity between 363 Neogene conglomerates and Permian bedrock in the range dips steeply northward. Beds 364 immediately above the unconformity dip steeply north to northeast, and are locally overturned 365 (Figure 5b – see photo). Bedding dips in the basin grade rapidly northward into gentle \langle 10°) 366 orientations. South of the range front, in the valley of the Tao He, Neogene strata dip moderately 367 southward $(30^{\circ}-35^{\circ})$ defining the backlimb of the fold. Overall, the anticline is a broad, 368 asymmetric fold with a steep NNE-dipping forelimb and a gentle SSE-dipping backlimb 369 (interlimb angle is $\sim 100^\circ$). Stereonet analysis of the poles to Neogene bedding measurements 370 from both limbs of the fold (Figure 4, inset) permits estimation of the trend and plunge of the 371 fold axis. Attitude measurements on the limbs of the fold exhibit some scatter, but tend to cluster

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372 about a girdle. The pole to a best-fit plane through this distribution yields a fold axis trending 373 104º and plunging 18º SE.

374 A range-scale fold of this geometry is also consistent with the geometry of deformed 375 Cretaceous strata east of the Tao He (Figure 4). Cretaceous units dip steeply (up to 60°) 376 northward along the range front (Figure 5c – see photo); dips progressively decrease northward 377 into the Linxia basin, where they are buried by Neogene deposits (Figure 4). Rare southward 378 dips suggest the presence of minor synclines north of the range front (Figure 4), and a minor 379 backthrust is present at the Cretaceous-Triassic contact (Figure 4 and 5). Overall, however, the 380 geometry appears similar to the range-scale fold in Neogene sediments west of the Tao He, and 381 suggests that the steep forelimb of the anticline continues eastward along the range front.

382 The along-strike transition from an emergent fault trace to a depositional contact suggests 383 that the basin-bounding fault is blind beneath the Neogene sediments in the vicinity of the Tao 384 He. The asymmetric form of the fold and the lateral continuity with a regionally-extensive thrust 385 fault further suggest that the frontal anticline represents a leading-edge anticline above a 386 propagating fault tip (Figure 5).

387 Subsidiary southeast-trending folds are present in the Neogene conglomerates to the 388 south and west of the frontal fold (Figure 4). Sediments in these folds display somewhat gentler 389 dips than the forelimb of the main frontal anticline and exhibit complex, minor, parasitic folds on 390 their limbs (Figure 4). The overall structure, however, is indicative of SW-NE contraction across 391 the basin margin.

392 **3.3. Timing of deformation and sedimentation**

393 The coarse character and sedimentary structures of Neogene deposits south of the Linxia 394 Basin suggests deposition in a high-energy, proximal environment that was likely associated

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395 with relatively high local relief. Stratigraphic evidence for synchronous deformation and 396 sedimentation, however, is somewhat equivocal on the limbs of the frontal anticline. The rapid 397 decrease in bedding dip northward toward the Linxia basin (Figure 5) is consistent with some 398 degree of fold growth during sediment accumulation, although a lack of exposure prevented 399 definitive identification of progressive unconformities. Additionally, the abrupt transition along 400 strike from a blind frontal thrust to an emergent structure may in part reflect changes in the depth 401 of exposure of the basin fill. Again, however, incomplete exposure within basin sediments 402 prevents lateral tracing of units along the front to test this hypothesis. On the backlimb of the 403 anticline, conglomerates display a subtle decrease in dip upsection that may reflect progressive 404 deformation of beds. However, the magnitude is small (from \sim 35 \degree to \sim 25 \degree) and lies within the 405 range of measurement uncertainty in coarse terrestrial sedimentary rocks. Together, these 406 observations permit the possibility that sedimentation and deformation were synchronous, but it 407 is also possible that much of the sediment accumulation locally pre-dates fold development, 408 perhaps as a consequence of rapid accumulation rates.

409 Paleoflow directions inferred from imbricated clasts within Neogene conglomerates in 410 the hanging wall provide only limited evidence for synchronous deposition and local fold 411 growth. Measurements of the attitudes of multiple imbricated clasts (backrotated to account for 412 bedding dips) at 20 locations yielded down-current flow directions that show considerable scatter 413 (Figure 6); however, there is a general trend of east-northeast flow, oblique to the anticlinal 414 hinge in the southwestern portion of the basin (Figure 6). This trend is consistent with a sediment 415 source farther to the south in the West Qinling. However, several sites suggest that locally flow 416 was opposite to this trend and directed to the south, away from the Linxia Basin (Figure 6). 417 These sites all occur on the flanks of anticlinal structures, and may reflect local sediment sources

418 on structural and topographic highs. Paleoflow directions at these sites are consistent with 419 synchronous deposition and local fold growth.

420 Finally, a comparison of bedding dips in Neogene rocks exposed on the forelimb of the 421 anticline with deformation recorded in Cretaceous rocks provides a direct constraint on the 422 relative timing of folding and deposition. Neogene and Cretaceous sediments along the present-423 day topographic margin exhibit similar degrees of deformation. Cretaceous units dip steeply 424 (-60°) near the range front, immediately north of a minor, south-vergent reverse fault (Figure 4, 425 5c) and decrease to gentler dips farther north. This is quite similar to the pattern observed in 426 Neogene sediments west of the Tao He, and suggests that both units have experienced similar 427 amounts of shortening. Thus, we infer that folding of Neogene sediments in the Tao He region 428 faithfully record the bulk of Tertiary shortening on the West Qinling fault system at this 429 longitude.

430

431 **4. RECONSTRUCTION OF SHORTENING IN NORTHEASTERN TIBET**

432 The geometry of the frontal anticline near the Tao River and its association with the West 433 Qinling thrust suggest that the basal Neogene unconformity is a suitable marker for 434 reconstructing shortening across this fault system. Moreover, the presence of a low-relief 435 erosion surface (Meiwu plateau) and Neogene deposits in the Lintan basin to the south enable a 436 regional estimate for the magnitude of Tertiary shortening during development of this portion of 437 the Tibetan Plateau. In this section, we utilize constraints imposed by these geologic 438 relationships to facilitate the development of maximum and minimum bounds on the amount of 439 Tertiary shortening.

440 **4.1. Shortening across the margin of the Linxia Basin**

441 The excess area balancing method [*Mitra and Namson*, 1989] allows restoration of 442 deformation at the southern edge of the Linxia basin. Models presented here share an 443 assumption of a subhorizontal pre-depositional topography. We recognize that this was probably 444 not strictly true, but it is consistent with the relatively low-relief Meiwu surface. We utilize the 445 geometry of the deformed Neogene sediments as representative of the geometry of the deformed 446 unconformity. We focus on the frontal anticline and model it as a fault-propagation fold, based 447 on the asymmetry described above. We utilize three different models for reconstructing fault 448 slip: 1) a fault-propagation fold with kink-band geometry [*Suppe and Medwedeff*, 1990] above a 449 shallow, subhorizontal décollement, 2) a hybrid fault-propagation/detachment fold developed 450 above a planar ramp [*Chester and Chester*, 1990; *Marrett and Bentham*, 1997], and 3) as a 451 trishear fault propagation fold [*Erslev*, 1991; *Hardy and Ford*, 1997]. All models share an 452 assumption that deformation occurs by plane strain, so that area is conserved and the excess 453 vertical area (in the deformed state) above the original, undeformed elevation of the 454 unconformity is equal to the area transferred into the section along the basal fault [*Mitra and* 455 *Namson*, 1989]. The first two models involve the assumption that line-length of the 456 unconformity is conserved. Finally, in the trishear model, unconformity line-length is not 457 conserved, and the total shortening across the section equals the horizontal component of slip 458 along the fault.

459 The shallow décollement model accounts for transfer of material into the section by 460 unconformity-parallel shear. Estimation of the amount of shear involves construction of a 461 shortening profile using selected unconformity-parallel horizons (with the assumption of line-462 length conservation) at various depths in the basement. To calculate the depth to detachment, we 463 take the excess area above the unconformity and subtract the amount of area transferred into the

464 section by unconformity-parallel shear from the hinterland. Depth to detachment equals the 465 corrected excess area divided by the total shortening of the unconformity [*Mitra and Namson*, 466 1989].

467 In the shallow décollement model, we model the southwestern anticline as a fault-bend 468 fold with a flat crest (Figure 7a). Restoration of the anticlines (Figure 7b) yields a relatively 469 limited estimate of total shortening across the section: 1.4 km (~7% of the exposed cross-470 section). This reflects the minimum amount of shortening that can elevate the unconformity in 471 the crest of the frontal fault-propagation fold above the present-day land surface, exposing 472 Permian bedrock in the core. An alternative interpretation of the maximum allowable shortening 473 is constrained by the blind fault tip. If we allow the fault tip to have propagated just enough to 474 reach the present-day land surface, we arrive at an estimate of 2.1 km (~11% of the exposed 475 cross-section). In either estimate, most of the shortening is accomplished on the frontal fold; the 476 southern structures are relatively minor. Moreover, this model shown does not adequately 477 explain the inferred northeastward-dipping panel (Figure 7a) and the exposure of Carboniferous 478 basement at the southwestern end of the cross-section (Figure 4). An additional structure to the 479 southwest may be required in order to explain the inferred deformation at the southwestern end 480 of the cross-section. We expect, from the relatively shallow dips in nearby exposures of 481 Neogene sediments (Figure 4), however, that such a structure would be relatively minor. 482 Recognizing that the subhorizontal décollement model is likely not applicable to faults 483 propagating through steeply dipping bedrock, we also model the frontal anticline as a hybrid 484 fault-propagation/detachment fold [*Chester and Chester*, 1990; *Marrett and Bentham*, 1997]. 485 This interpretation considers the frontal anticline as a fold formed above a fault plane of constant 486 dip that extends deeper into the crust. In the absence of evidence for or against changes in bed

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487 thickness, models presented here assume constant bed thickness, such that unconformity-parallel 488 line-length is conserved. The presence of the unconformity in the hanging wall places a limit on 489 the amount of fault propagation and slip that can occur before the unconformity is elevated 490 above the present-day land surface (Figure 7c, d). Restoration adhering to this constraint yields a 491 minimum amount of shortening of ~0.8 km shortening (Figure 7d). The structure can 492 accommodate additional shortening if the interpreted depth of the unconformity prior to 493 deformation is somewhat greater. This allows up to ~1.1 km of shortening. Note that our 494 interpretations do not include a bend in the fault at depth (into a horizontal detachment) because 495 of the lack of observed field evidence for a fault bend fold south of the range front. 496 In the trishear model, a fold forms as beds are deformed within a triangular shear zone in 497 front of the propagating fault tip. The trishear model was developed to explain deformation 498 above Laramide-type fault-propagation folds in settings where faulting involves both basement 499 rocks and overlying sedimentary cover [*Erslev*, 1991; *Erslev and Rogers*, 1993]. We used the 500 program FaultFold (created by Rick Allmendinger) to model the frontal fold as a trishear fault-501 propagation fold. Trishear models with a horizontal décollement yield a minimum shortening 502 estimate of 2.4 km (or 20% of the exposed cross-section; Figure 7e,f) and a maximum estimate 503 of 3.0 km (or 24% of the exposed cross-section; Figure 7g,h). Models with a dipping detachment 504 require a change in the dip of the fault at depth in order to reproduce the inferred backlimb fold 505 geometry (Figure 7i). These models yield minimum and maximum shortening estimates of 1.5 506 km (or 11% of the exposed cross-section; Figure 7j) and 1.9 km (or 15% of the exposed cross-507 section) respectively. 508 All three models are in general agreement with the observed fold and fault geometry,

509 highlighting the uncertainty of reconstructing fault geometry from surface measurements alone.

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510 Modeled fault dips range from 34° SW in the shallow décollement model to 47° SW in the 511 trishear model with a dipping detachment, consistent with the range of fault dips estimated along 512 the frontal part of the range (Table 1). Moreover, projection of the unconformity from its 513 elevation where it crosses the nose of the fold (Figure 4), along the inferred fold axis, and into 514 the plane of the cross-section yields a predicted elevation of \sim 3100 m for the unconformity in the 515 fold crest. For each class of models, the amount of shortening necessary to elevate the 516 unconformity to 3100 m lies within the range of estimated shortening. Although all three classes 517 of models yield estimates of shortening between 1-3 km, we tend to favor estimates derived from 518 the trishear model, simply because it is likely most applicable to the setting of sedimentary rocks 519 overlying a basement-involved thrust system where the 'basement' rocks are themselves steeply 520 dipping. The shallow décollement model predicts transfer of material by shear parallel to 521 Neogene layers; such shearing would likely be inhibited if bedrock layering was oblique to 522 Neogene strata (Figure 4). Regardless of the choice of model, however, the geometry of folding 523 along the Tao river indicates that the West Qinling fault system accomplished relatively minor 524 shortening during Tertiary time.

525 **4.2. Constraints on shortening within the Tibetan Plateau from the Meiwu surface**

526 One of the challenges to reconstructing the magnitude of Cenozoic shortening throughout 527 the Tibetan Plateau is deconvolving earlier deformational events. In the region south of the 528 Linxia basin, Paleozoic and Triassic units in the high plateau exhibit significant deformation in 529 the form of km-scale upright, isoclinal folds and laterally-extensive reverse faults (Figures 3 and 530 8). Few direct constraints on fault plane orientations are available; however, deformed units dip 531 steeply throughout the region (Figure 8), and it is self-evident that these geometries require 532 significant shortening of Paleozoic and Mesozoic strata.

533 As described above, however, the topographic surface of the plateau throughout much of 534 this region exhibits extremely low topographic relief (Meiwu surface). Similar surfaces in 535 eastern and southeastern Tibet appear to pre-date Cenozoic development of high topography 536 [*Clark, et al.*, 2006], and it is likely that the Meiwu surface represents a relict landscape, partially 537 buried beneath Neogene rocks. Regardless of its absolute age, however, the geometry of the 538 surface cross-cuts steeply dipping Permian and Carboniferous units. This relationship, coupled 539 with the presence of thrust faults that displace Mesozoic and Paleozoic units but are themselves 540 overlapped by Neogene sediments (Figure 3), indicates that much of the deformation recorded in 541 the Paleozoic bedrock developed prior to Cenozoic time. Thus, preservation of the undeformed 542 surface atop the plateau suggests that this region has experienced little internal deformation 543 during Tertiary time. Rather, shortening related to plateau development appears to be 544 concentrated at the north and south flanks of the Meiwu surface, adjacent to the Linxia and 545 Lintan basins, respectively.

546 **4.3. Shortening estimates at the margin of the Lintan Basin**

547 To place bounds on the amount of shortening across the margin of the Lintan basin, we 548 take a similar approach to reconstructing balanced cross-sections (Figure 9). At the northern 549 margin of the Lintan basin, an ESE-striking, southwest-vergent reverse fault places Eocene and 550 Triassic bedrock in the hanging wall over Neogene sediments in the footwall (Figure 3). 551 Unfortunately, only a few remnant exposures of Neogene deposits are present north of the thrust 552 fault (Figure 3), providing limited constraints on the magnitude of shortening. An exposure of 553 Neogene sediment in the hanging wall dips gently north, while sediments in the footwall 554 adjacent to the fault dip steeply south, suggesting that the structure formed as a SW-vergent 555 fault-propagation fold. Given the similarity between results of the trishear and other models on

556 the Linxia basin margin, we present only the results of a trishear model for this structure. 557 Trishear models of the structure as a fault-propagation fold with a horizontal décollement yield 558 minimum and maximum shortening estimates of 2.4 km (~11%; Figure 9ea,b) and 6.5 km 559 (~31%; Figure 9c,d), respectively. For trishear models with a dipping detachment, only one 560 interpretation of the degree of fold development is admissible (Figure 9e); this interpretation

561 yields a shortening estimate of 1.0 km (or 5% of the exposed cross-section; Figure 9f).

562 Mapped relations (Figure 4) indicate that the fault tip breaks the surface at the contact 563 between Neogene sediments and older bedrock at the northern edge of the Lintan basin. In order 564 for models presented here to be admissible, the fault tip must subsequently propagate upward at a 565 steep angle to cut the forelimb of the fold in a high-angle breakthrough [*Suppe and Medwedeff*, 566 1990]. Measurements farther west along the fault system [*Ministry of Geology and Mineral* 567 *Resources*, 1989] suggest a fault plane dip of approximately 60º where the fault breaks the 568 surface (Figure 9). In the absence of precise cutoffs, the amount of slip along the fault that could 569 have occurred after such a breakthrough is unclear. Thus, our estimates all provide only 570 minimum bounds on the magnitude of shortening. We suggest, however, that this preliminary 571 estimate is also consistent with relatively limited shortening observed along the West Qinling 572 fault system.

573

574 **5. LOW TEMPERATURE THERMOCHRONOLOGY**

575 Although structural analysis provides insight into the mechanisms and magnitude of 576 Tertiary deformation in this region, the timing and rates of shortening remain uncertain. The 577 cooling histories of rocks exposed in the hanging wall of major thrust systems can place bounds 578 on the exhumational history of the range, and by inference, the timing of topographic relief

579 development in response to fault activity [e.g., *Bullen, et al.*, 2003; *Bullen, et al.*, 2001]. In order 580 to refine our understanding of the onset of exhumation in the hanging-wall of the West Qinling 581 fault system, we collected a suite of ten bedrock samples, spanning ~900m in elevation, from an 582 exposed granodioritic pluton, located approximately midway between the Tao He and Daxia He 583 (Figure 4) for (U-Th)/He apatite thermochronometry. The (U-Th)/He thermochronologic system 584 has a relatively low closure temperature in apatite [~65°C, *Farley*, 2000; *Wolf, et al.*, 1996] 585 which makes it a useful tool for determining thermal histories in the shallow crust [e.g., *Lippolt,* 586 *et al.*, 1994; *Wolf, et al.*, 1998; *Wolf, et al.*, 1996; *Zeitler, et al.*, 1987].

587 Apatites were concentrated from hand samples using mechanical and density separation 588 methods (R. Donelick, pers. communication). Individual apatite grains were hand picked for (U-589 Th)/He analysis at the California Institute of Technology. Equant grains devoid of visible 590 inclusions were selected, and the dimensions of each grain were measured. Grains ranged from 591 80 μ m to 170 μ m in prism half-width and F_t values [*Farley, et al.*, 1996] ranged from 0.67 to 592 0.83 (Table 2). For each analysis, a single grain was loaded into a platinum packet, and laser 593 heated in a vacuum to 1050˚C for five minutes to release all helium. Helium was measured in a 594 quadrupole mass spectrometer using 3 He isotope dilution [for a description of the apparatus, 595 seem *Wolf, et al.*, 1996]. Samples were re-heated to ensure complete release of helium; samples 596 that yielded significant re-extraction of gas were presumed to host micro-inclusions of a more 597 retentive phase, and are excluded from sample means (Table 1). After gas extraction, each grain 598 was placed in HNO₃, spiked with ²³⁵U and ²³⁰Th, and heated to 60[°]C for one hour to facilitate 599 complete dissolution. The solution was analyzed on an inductively coupled plasma mass 600 spectrometer (ICP-MS) for uraniumand thorium content. Raw ages were calculated for each 601 replicate, and an alpha-ejection correction was applied based on the measured size of the grain

602 [*Farley, et al.*, 1996]. Mean ages were calculated from between 3 - 8 individual grains from 603 each sample (Table 2).

604 Mean corrected ages for the apatites span a time period of ~35 m.y. and exhibit a linear 605 correlation between mean age and elevation (Figure 10). We observe, however, that some 606 samples exhibit a wide range of ages among individual replicate analyses. In particular, samples 607 04-09, collected near the base of the transect, and 04-04, collected near the top of the transect, 608 exhibit considerable age variability in replicated ages (Table 2). These apatites also display a 609 strong correlation between helium content and age (Table 2) that may reflect either anomalous 610 helium loss (due to weathering or transient thermal effects associated with range fires) or from 611 implantation of helium within apatite grains (due to adjacent radiogenic mineral phases). An 612 additional sample (05-05) was collected during 2005 from the same outcrop as 04-09; although 613 the scatter in replicate ages is reduced (Figure 10), a correlation with He concentration remains 614 (Table 2). Regardless of the cause of the correlation, we consider the mean ages from these 615 samples unreliable, and we do not include them in our interpretation (Figure 10). 616 A second sample at the base of the transect (04-10) lies below the projection of the age-617 elevation trend (Figure 10). However, this sample was collected several kilometers downstream

618 of the primary age-elevation transect, near the West Qinling fault, and it's older age may reflect 619 topographic effects during cooling [*Stüwe, et al.*, 1994] and/or localized thermal effects near the 620 fault. Moreover, this sample exhibits a correlation between age and the grain-size correction 621 (Ft), consistent with observations of samples that have experienced slow cooling [*Reiners and* 622 *Farley*, 2001]. Because of the wide range of replicate ages, we consider this mean age to be

623 unreliable and we restrict our interpretation of the cooling history to the upper ~700 m of the

624 transect (Figure 10).

625 A significant feature of these (U-Th)/He age data is the low slope of the age-elevation 626 relationship, suggestive of slow cooling over the period from \sim 55 to \sim 20 Ma (Figure 10a). For a 627 sampling transect in which the samples vary both in vertical position and horizontal position, 628 direct inference of an exhumation rate from the slope of the age-elevation relationship requires 629 the assumption that the closure isotherm remained fixed in space and time (Ehlers, 2005). 630 Generally, this assumption is only valid under slow exhumation rates, such that advection of heat 631 is negligible [*Braun*, 2005; *Ehlers*, 2005; *Mancktelow and Grasemann*, 1997; *Stüwe, et al.*, 632 1994].

633 In our study, the Meiwu surface places an additional constraint on the interpretation of 634 low-temperature thermochonologic data. If the Meiwu surface represents a continuous, low-635 relief landscape that pre-dates the cooling and exhumation of the samples, the surface may 636 provide a more appropriate datum from which to reference the paleo-depth of samples. Similar 637 low-relief surfaces have been utilized in this way to interpret apatite (U-Th)/He ages in the Sierra 638 Nevada [*Clark, et al.*, 2005b], in southeastern Tibet [*Clark, et al.*, 2005a], and near our study 639 area in northeastern Tibet, along the Daxia River [*Clark, et al.*, 2004].

640 Projection of the Meiwu surface northward over the location of the sampling transect 641 permits use of the projected elevation as a datum for referencing the vertical positions of 642 individual samples (Figure 10b). The surface climbs slightly in elevation toward its northern 643 edge along the crest of the West Qinling, imparting a degree of uncertainty into the projection of 644 this surface above the present-day range front. In addition, whether the surface is folded above 645 the West Qinling fault in this region is unknown. Because of these possibilities, we assign 646 greater depth uncertainties (Figure 10b) than those in the age-elevation relationship.

647 Regardless of reference frame (Figure 10), both the age-elevation and age-depth 648 relationships suggest similar denudation rates in the upper part of the transect; the age-elevation 649 regression yields a slope of \sim 17 m/Myr (Figure 10a) and the age-depth regression yields a slope 650 of ~13 m/Myr (Figure 10b). The similarity in estimated rates provides confidence in the 651 interpretation that denudation rates in this part of the West Qinling were slow from ~55 Ma to $652 \sim 20$ Ma. This result is consistent with thermal histories derived from age-elevation relationships 653 in southeastern Tibet [*Clark, et al.*, 2005a] and adjacent to the Sichuan Basin [*Kirby, et al.*, 654 2002]. Both of these studies document a protracted period of slow cooling that followed 655 Mesozoic deformation in the Songpan-Garze terrane and appears to have continued up into 656 Miocene time [*Kirby, et al.*, 2002]. It is noteworthy that all of these studies documenting slow 657 cooling during the late Mesozoic and early Cenozoic in northeastern Tibet were conducted near 658 preserved remnants of low-relief surfaces, and it appears likely that these landscapes may have 659 once been correlative [e.g., *Clark, et al.*, 2006].

660 In addition to the regional implications, the combination of low denudation rates implied 661 by our data and the presence of the Meiwu surface place some bounds on the timing of 662 deformation along the West Qinling fault system in this part of northeastern Tibet. Shortening 663 associated with slip along the West Qinling fault system is likely to have been associated with 664 the generation of significant topographic relief, at least during local fold growth. There is no 665 indication of rapid cooling in our data set, suggesting that any increase in cooling rate associated 666 with thrust activity must postdate the youngest age from our transect. Thus, our data imply that 667 the development of the present-day relief in the West Qinling, and by inference, shortening along 668 the West Qinling fault system, occurred at some time more recently than ca. 20 Ma. In principle, 669 one could make a coarse estimate of the depth at which this rapid cooling would be recorded.

670 Assuming a geotherm of \sim 20 \textdegree C/km, the closure isotherm for helium in apatite should reside at 671 \sim 3 km below the relict surface, or \sim 1 km below the present-day land surface.

672 It is intriguing that our data suggest slow cooling during Eocene - Oligocene time, a 673 period that spans the onset of sediment accumulation in the Linxia Basin [*Fang, et al.*, 2003]. It 674 is possible that the source area for this sediment was farther to the south or west at this time, but 675 this speculation remains untested. Ongoing work on an adjacent (U-Th)/He elevation transect 676 farther west (in the canyon of the Daxia River, Figure 1) appears to preserve evidence for a 677 short-lived episode of increased denudation rate at ca. 45 Ma [*Clark, et al.*, 2004]. However, the 678 episode appears to be followed again by relatively slow denudation (ca. 50 m/m.y.) extending to 679 ca. 10 Ma [*Clark, et al.*, 2004]. Thus, although a more precise characterization of late Eocene-680 early Oligocene cooling south of the Linxia basin awaits additional sampling and analysis, both 681 data sets suggest that development of much of the relief along the southern margin of the Linxia 682 basin occurred sometime more recently than 18 - 10 Ma. We infer that the majority of slip on 683 the West Qinling fault and deformation associated with the leading-edge fold in the Tao River 684 region is Late Miocene or younger.

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686 **6. IMPLICATIONS FOR PLATEAU GROWTH IN NORTHEASTERN TIBET**

687 Our analysis of deformation at the northeastern margin of the Tibetan Plateau indicates 688 that Tertiary shortening adjacent to the Linxia Basin was limited to 1-3 km. Moreover, 689 preservation of the Meiwu surface atop the plateau indicates that this region of northeastern Tibet 690 experienced little internal deformation during Tertiary time; shortening was instead concentrated 691 at the northern and southern flanks of the range, adjacent to the Linxia and Lintan basins, 692 respectively. Combination of shortening across these two fault systems thus yields a total

693 estimate of shortening across a large, contiguous portion of the plateau (Figure 1). In the 694 discussion, we consider the results of modeling folds with trishear kinematics, as these probably 695 represent the most likely representation of the actual fold mechanics. For these models, total 696 shortening between the Lintan and Linxia basins ranges from \sim 3 – 10 km (Table 3). We note 697 that these are among the highest estimates; other models of fault geometry yield lower values 698 (Table 3).

699 We might expect shortening to be somewhat larger near the center of the West Qinling 700 fault, as most fault systems exhibit a systematic scaling of displacement with fault length [*Cowie* 701 *and Scholz*, 1992; *Schlische, et al.*, 1996]. Established scaling relationships for thrust faults 702 [*Davis, et al.*, 2005; *Schlische, et al.*, 1996] can provide an approximate bound on the amount of 703 deformation possible near the center of the fault (roughly located near the Daxia river, Figure 1). 704 Global scaling relationships suggest that maximum fault displacement for the ~90 km segment of 705 the West Qinling fault that bounds the Linxia Basin should be on the order of 3-4 km. There is 706 some ambiguity in fault length (75 – 110 km), depending on whether the segment is linked with 707 a mapped fault segment to the west (Figure 1). These ambiguities suggest that maximum fault 708 displacement could be as high as ~5-6 km. Although inferences from displacement-length 709 scaling represent only crude estimates of fault displacement, the correspondence between these 710 estimates and our maximum estimates from cross-section reconstruction corroborates our 711 inference that Tertiary shortening is limited (<10 km) across this fault system. The West Qinling 712 thrust is in many ways similar to Laramide-style fault-fold systems that accomplish relatively 713 limited shortening of the upper crust [e.g., *Erslev and Rogers*, 1993]. 714 We turn to the question of whether the observed shortening is sufficient to generate 715 present-day crustal thickness in northeastern Tibet. Simple calculations assuming local (Airy)

739 Airy isostasy, mean elevations of ~1200-1500 m in the Qinling Shan imply crustal thicknesses 740 between 43-45 km for the eastern Qinling Shan, in general agreement with global seismic 741 estimates [40-45 km, *Li and Mooney*, 1998].

742 Although this analysis is clearly simplified, in that it does not account for flexural support 743 of topography and/or variations in initial crustal thickness along the Qinling, it suggests that, to 744 first-order, shortening of the upper crust in northeastern Tibet is not sufficient to accomplish 745 present-day crustal thicknesses and elevations. Thus, our results support models for the growth 746 of eastern Tibet that invoke thickening and flow in the lower crust [e.g., *Clark and Royden*, 747 2000]. We note that this process has recently been invoked to explain young cooling ages in the 748 Qinling, east of our study area [*Enkelmann, et al.*, 2006]. If the central Qinling has experienced 749 recent thickening of the lower crust, this would imply that our estimates of pre-collisional 750 thickness are too large, requiring a greater source of crustal material. In general, the northeastern 751 corner of the plateau may hold the key to deconvolving the contributions of shortening at 752 different crustal levels to the growth of high topography in Asia. Our study highlights the need 753 to more completely understand the distribution of crustal thickness and topography prior to the 754 Indo-Asian collision in addition to the distribution of Tertiary shortening in space and time. 755

756 **7. CONCLUSIONS**

757 Our mapping in the Tao He region of the West Qinling range, reconstruction of 758 deformation across the West Qinling fault system, and thermochonology from the hanging-wall 759 rock lead us to the following conclusions:

760 1.) Tertiary shortening in the northeastern corner of the Tibetan Plateau is localized on 761 the margins of the Linxia and Lintan basins. North- and south-vergent fault systems that bound

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762 the margins of these basins, respectively, are moderate to steeply dipping reverse faults.

763 Associated folding in Neogene sediments suggests that these structures grew as fault-propagation

764 folds with geometries reminiscent of Laramide-type structures that involve basement

765 deformation.

766 2.) Restoration of balanced cross-sections across the West Qinling thrust fault yields 767 estimates of Tertiary upper crustal shortening on structures at the southern margin of the Linxia 768 basin that range from 1 - 3 km. A reconnaissance analysis of the fault system along the northern 769 edge of the Lintan basin yields poorly-resolved shortening between 1 – 6.5 km.

770 3.) The low-relief landscape of the Meiwu plateau appears to correlate to the basal 771 depositional contact of Neogene sediments adjacent to the Linxia Basin. Moreover, this surface 772 was developed across steeply deformed Paleozoic bedrock in the plateau south of the basin, 773 indicating that much of the deformation in the middle of the range predates the Tertiary. Thus, 774 we are able to develop a regional budget of Tertiary deformation that is limited to the fault 775 systems adjacent to the Linxia and Lintan basins.

776 4.) (U-Th)/He ages in apatite from the southern margin of the Linxia basin exhibit a 777 correlation with elevation that is consistent with slow cooling from \sim 55 Ma to \sim 20 Ma. This 778 relationship suggests the presence of a partial retention zone for helium in apatite below the 779 Meiwu surface. Inferred denudation rates of 15-20 m/Myr are consistent with slow erosion atop 780 this landscape since at least Paleocene time. We infer that the development of the present-day 781 high relief on the range front, and associated slip on the West Qinling fault system, occurred at 782 some time younger than ~ 20 Ma. Thus, our results are consistent with a pulse of Miocene 783 tectonism throughout northeastern Tibet.

784 5.) Overall, our results suggest that upper crustal shortening in northeastern Tibet is not 785 sufficiently large to account for the present-day crustal thickness. We suggest, therefore, that our 786 results imply an additional source of crustal thickening, perhaps associated with flow of lower 787 crust from beneath the central plateau.

788

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797

797 **FIGURE CAPTIONS**

798

799 **Figure 1.** Tectonic setting of Tertiary basins and faults in the northeastern Tibetan Plateau. 800 Background is a color-shaded relief map generated from Shuttle Radar Topography 801 Mission (SRTM) data (NASA, 2003; source for the dataset is http://srtm.csi.cgiar.org/). 802 Tertiary basins are shown in yellow. The Yellow River and major tributaries (Daxia and

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803 Tao rivers) are labeled in blue. Box (dashed line) shows location of study area. Inset: 804 shaded relief map of the Indo-Asian collision zone. White box shows location of Figure 805 1. Abbreviations are as follows: GH - Gong He Basin, G – Guide Basin, LJ - La Jie 806 Shan, LX - Longxi Basin, XH – Xun Hua Basin.

- 808 **Figure 2.** Topography of the northeastern Tibetan Plateau. **A.** 90 meter digital elevation model 809 (DEM) from the NASA Shuttle Radar Topography Mission (SRTM) data. Elevation 810 values are in meters. $D = Daxia River$, $T = Tao River$. Dashed box shows outline of map 811 in Figure 4. **B.** Land surface slope at each cell in the DEM in degrees. Note high slopes 812 near major rivers on the plateau. **C.** Filtered results highlighting areas of low slope (< 10°) and low curvature (Laplacian of topographic surface, $\nabla^2 z$). **D.** Map of Tertiary-814 Quaternary sediments [*Ministry of Geology and Mineral Resources*, 1989; 1991]. Note 815 that most of the low relief surfaces shown in C are Tertiary-Quaternary basins. Basin 816 symbols: $LX = Linxia$, $L = Lintan$, $G = Ganjia$, $T = Tongren$, $H = Hezu$, $S = Sangke$. 817
- 818 **Figure 3.** Geologic map showing distribution and structure of Mesozoic and Paleozoic bedrock 819 south of the Linxia basin. Geology is based on 1:200,000 geologic maps [*Ministry of* 820 *Geology and Mineral Resources*, 1989] and on our own mapping. Extent of Meiwu low-821 relief surface is shown as shaded region. Faults that do not truncate Neogene units are 822 shown in gray. Faults that demonstrably displace Neogene deposits are shown in black. 823 Blue lines show locations of cross-sections.
- 825 **Figure 4.** Enlarged geologic map of Neogene deposits in the vicinity of the Tao river. Bedding 826 orientations (black) and fold axes within the Neogene units are from our mapping. Also 827 shown are the locations of thermochronologic samples (blue circles). Units and symbols 828 follow the legend in Figure 3. Inset stereonet shows poles to strike and dip measurements 829 from forelimb (squares) and backlimb (circles) of frontal fold. Great circle shows best-fit 830 plane to measurements; pole to this plane (shown as X) represents the macroscopic fold 831 axis. Dashed box shows area of Figure 6.
- 833 **Figure 5.** Geometry of folding at the West Qinling range front. **A.** View of the ESE plunging 834 frontal anticline, looking NE from within the plateau. Dashed line highlights 835 unconformity where Neogene sediments extend across the fold (see Figure 4). Black lines 836 show fold axes. **B.** Cross-section (see Figure 4 for trace) through Neogene sediments 837 west of the Tao River, showing inferred geometry of the frontal anticline. Photos show 838 gently south to southeast dipping backlimb and steeply northeast dipping forelimb, with 839 bedding highlighted. **C.** Cross-section (see Figure 4 for trace) through Cretaceous rocks E 840 of the Tao River, showing steep dips near the range front and decreasing dips to the N. 841 Photo shows northward dipping Cretaceous rocks at the range front.

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- 843 **Figure 6.** Map showing paleocurrent data from Neogene sediments. All pre-Neogene rocks are 844 shown in white for clarity; fold axes are shown in grey and thrust faults in black. Rose 845 diagrams show measured down-current flow direction at each site based on measurement 846 of imbricated clasts (rotated to correct for bedding dip); line indicates mean down-current 847 direction. Rose diagrams generated using GeoPlot software (created by Steve Ahlgren). 848 Site numbers in bold; $n =$ number of measurements at each site. Location of this area is 849 shown as dashed box in Figure 4.
- 851 **Figure 7.** Models of anticlines at the range front adjacent to the Linxia basin. **A.** Model of frontal 852 anticline as a fault-propagation fold and rear anticline as a fault-bend fold, interpreted to 853 yield a minimum shortening estimate. **B.** Restored. **C.** Model of frontal anticline as a 854 hybrid fault-propagation/detachment fold, interpreted to yield a minimum shortening 855 estimate. **D.** Restored. **E.** Model of frontal anticline as a trishear fault-propagation fold 856 with a horizontal detachment, interpreted to yield a minimum shortening estimate. **F.** 857 Restored. **G.** Trishear fold model with horizontal detachment yielding a maximum 858 shortening estimate. **H.** Restored. **I.** Trishear fold model with dipping detachment 859 yielding a minimum shortening estimate. **J.** Restored. Images in E-J are based on 860 graphics output from the program FaultFold, created by Rick Allmendinger. Beds in E-J 861 highlighted to show forelimb thickening. No vertical exaggeration in A-J.
- 863 **Figure 8.** Schematic cross-section through Mesozoic and Paleozoic bedrock of the West Qinling 864 range. Fault locations, unit ages and distributions, and strike and dip measurements are 865 from [*Ministry of Geology and Mineral Resources*, 1989]. Topographic profile derived 866 from SRTM data. Note that the low relief surface of the Meiwu plateau cross-cuts steeply 867 dipping Paleozoic bedrock.
- 869 **Figure 9.** Reconnaissance-level models of Tertiary structure at the northern margin of the Lintan 870 basin. Thick gray lines show possible paths for high-angle breakthrough of the fault tip. 871 **A.** Model of frontal anticline as a trishear fault-propagation fold with a horizontal 872 detachment, interpreted to yield a minimum shortening estimate. **B.** Restored. **C.** Trishear 873 fold model with horizontal detachment yielding a maximum shortening estimate. **D.** 874 Restored. **E.** Trishear fold model with dipping detachment. **F.** Restored. Vertical 875 exaggeration in A-D equals 0.5x. No vertical exaggeration. Images are based on graphics 876 output from the program FaultFold, created by Rick Allmendinger. Beds highlighted to 877 show deformation in forelimb.
- 879 **Figure 10.** (U-Th)/He ages for apatite samples from a granite pluton in the hanging wall of the 880 West Qinling fault. Sample locations are shown in Figure 4. Regressions exclude only 881 samples exhibiting correlations between He concentration and age (open circles). **A.** Plot 882 of mean corrected (U-Th)/He ages and sample elevations. Error bars show the range of 883 replicate ages for each sample. **B.** Mean corrected (U-Th)/He ages and sample depths 884 below the projected low-relief Meiwu surface. Horizontal error bars show the range of 885 replicate ages. Vertical error bars reflect uncertainty in the projection of the relict surface. 886

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^a Geometry determined from *[Ministry of Geology and Mineral Resources, 1989]*

^b Geometry determined using SRTM topographic data as base

a Measured half-with of prism.

b Alpha retentivity for a hexagonal prism (Farley et al. 1996)

^c Age corrected for alpha ejection, following Farley et al. (1996)

d Uncertainties on single replicates are 6% (2σ) and represent typical laboratory reproducibility (Farley, 2002).

Uncertainties on sample mean ages are taken as the standard deviation (2σ) of the mean.

e Replicates excluded from sample mean calculations because of He release upon reheating.

^aSum of shortening estimates on Linxia and Lintan basin margins

Angerman et al., Deformation in NE Tibet - Figure 1

Angerman et al., Deformation in NE Tibet - Figure 4

Angerman et al., Deformation in NE Tibet - Figure 6

Angerman et al., Deformation in NE Tibet - Figure 10